

# Finite Automata – Introduction

## Lecture 4 Section 2.1

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# Outline

- 1 An Automaton
- 2 Definition of a DFA
- 3 Examples
- 4 Assignment

# Outline

1 An Automaton

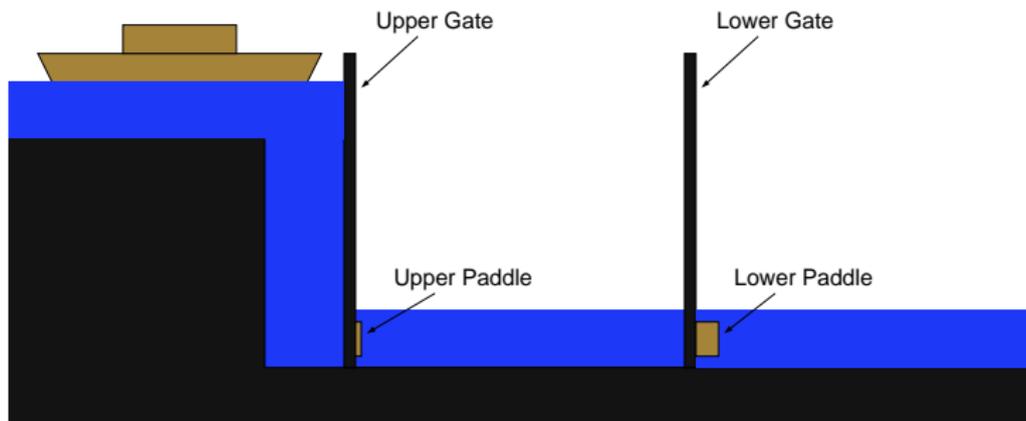
2 Definition of a DFA

3 Examples

4 Assignment

# A Canal Lock

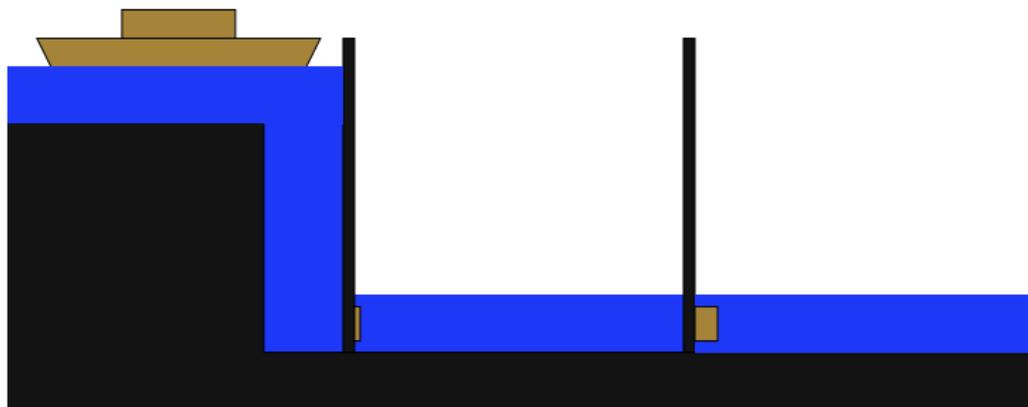
- Describe the operation of a canal lock operated so that the gates can never be opened when the water on the two sides of the gate is not at the same level.



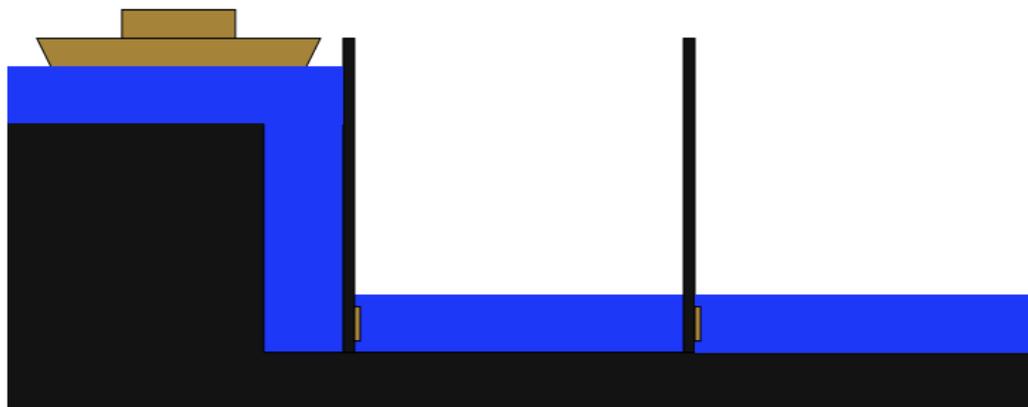
# A Canal Lock

- The working parts of the lock are
  - Upper gate
  - Upper paddle
  - Lower gate
  - Lower paddle
- Each gate or paddle is either open or closed.

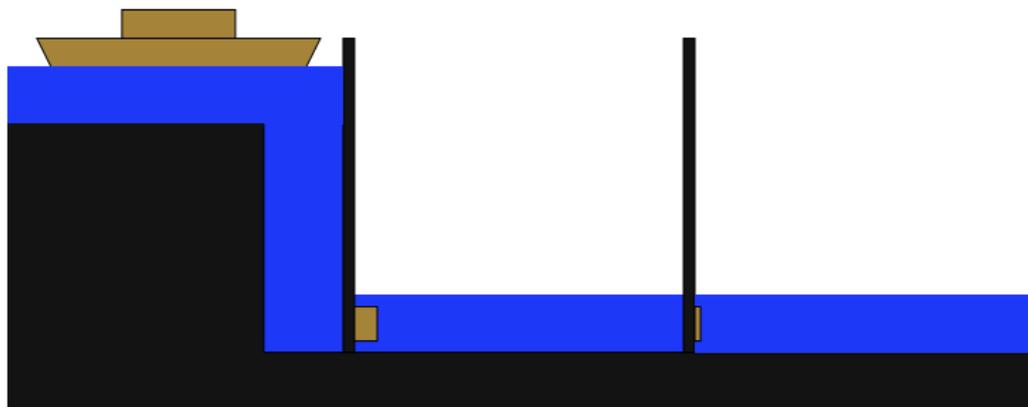
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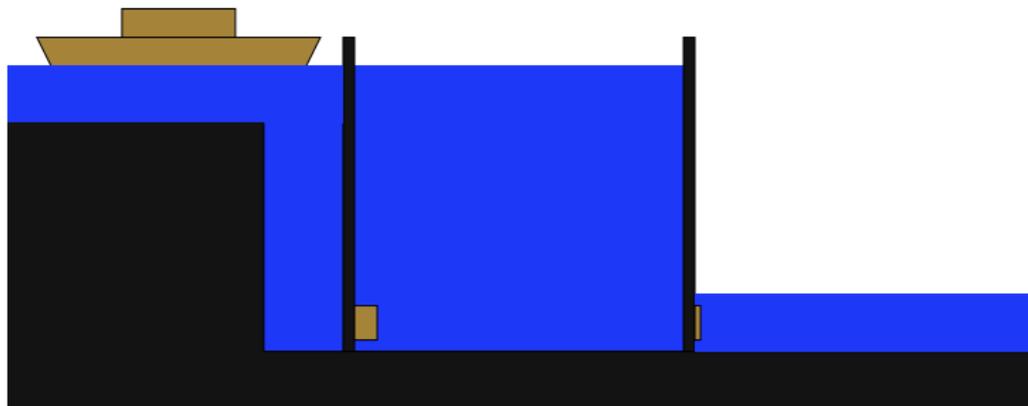
# A Canal Lock



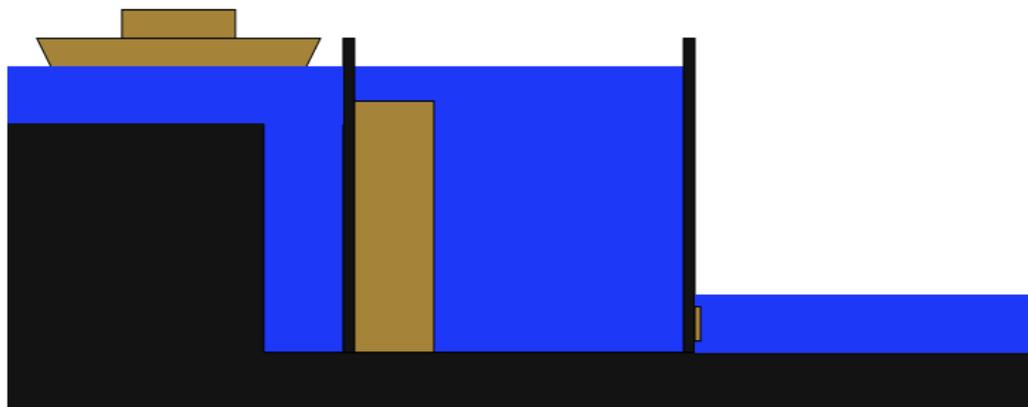
# A Canal Lock



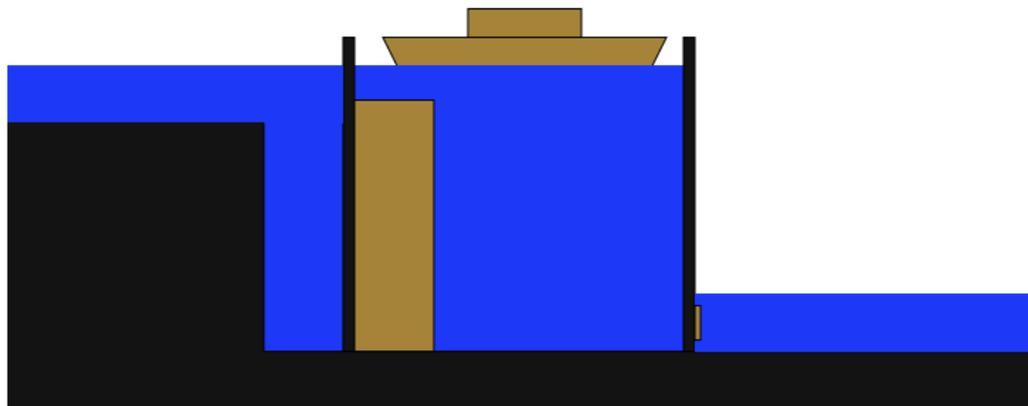
# A Canal Lock



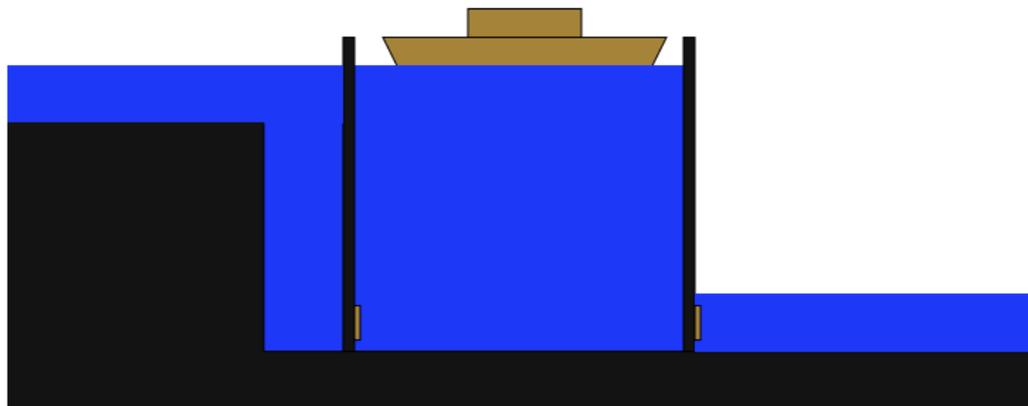
# A Canal Lock



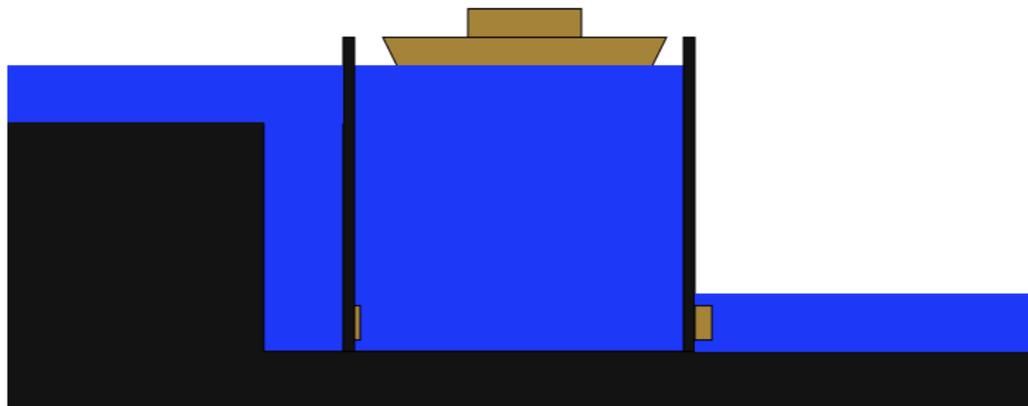
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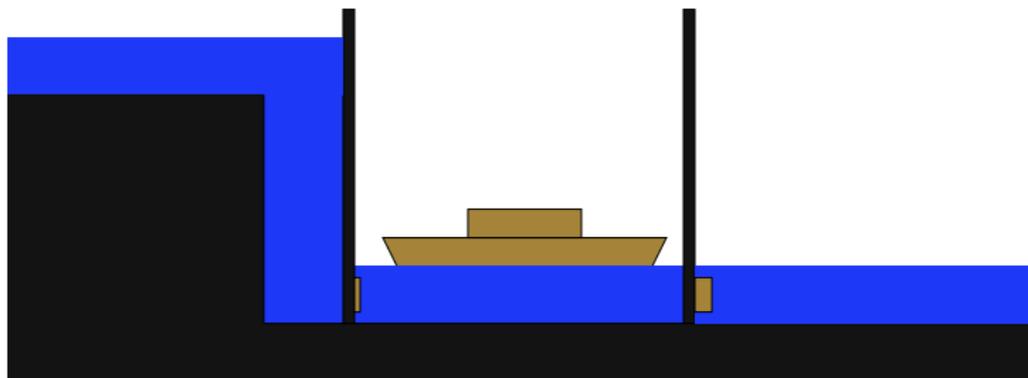
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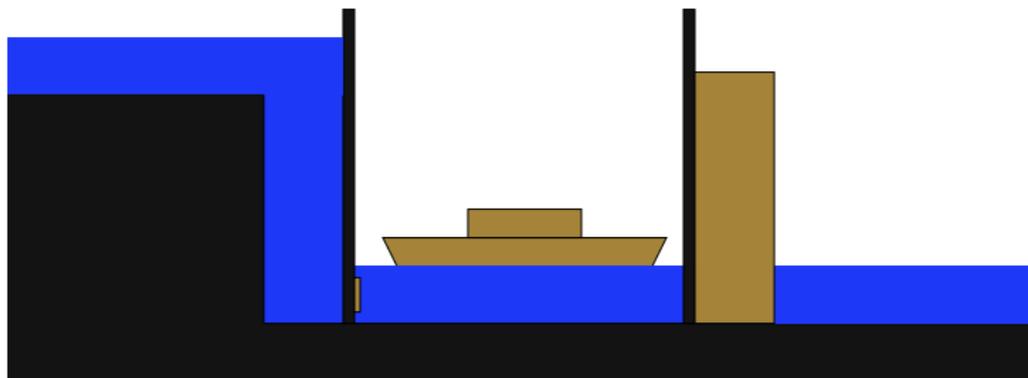
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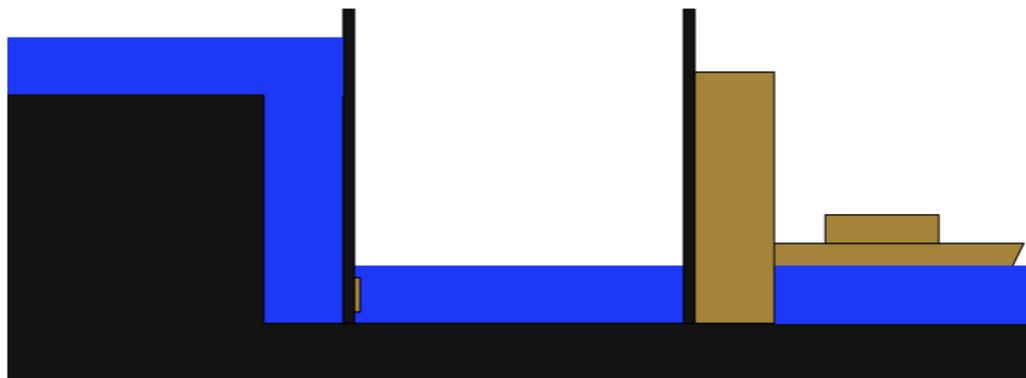
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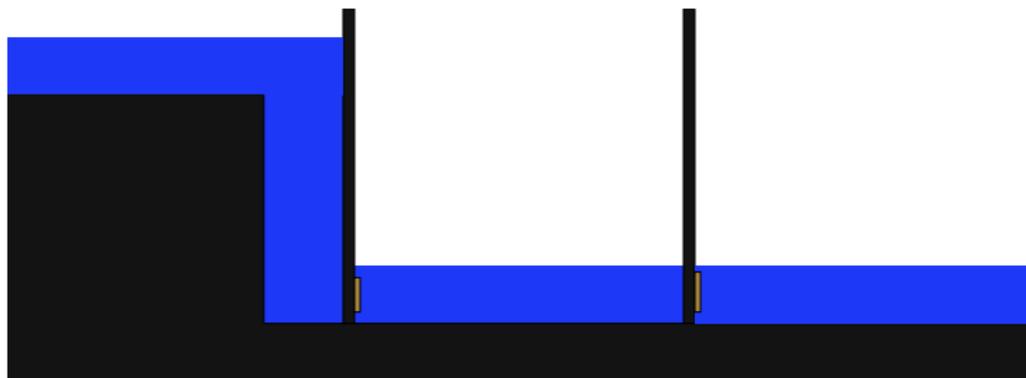
# A Canal Lock



# A Canal Lock



# A Canal Lock



# A Canal Lock

- What are the states?
- Each gate or paddle is open or closed.
- So there are 16 conceivable combinations.
- How many are feasible? (We can never have an upper gate or paddle open while a lower gate or paddle is open.)
- Also, the water can be high or low, but that is typically determined by the state of the gates and paddles.

# A Canal Lock

- The following table shows the possible states (X = closed, O = open).

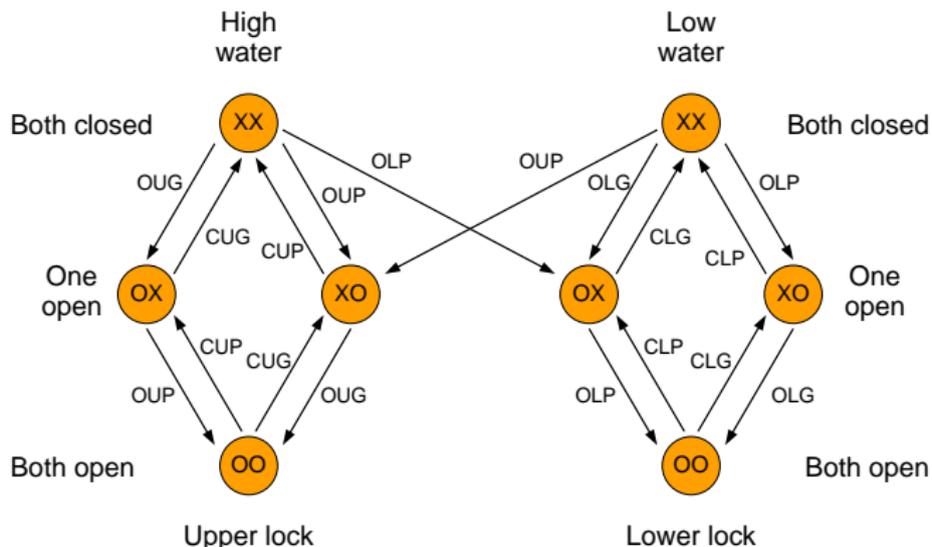
State	UG	UP	LG	LP	Water
1	X	X	X	X	Low
2	X	X	X	X	High
3	X	X	X	O	Low
4	X	X	O	X	Low
5	X	X	O	O	Low
6	X	O	X	X	High
7	O	X	X	X	High
8	O	O	X	X	High

# A Canal Lock

- Draw a state diagram where the actions are
  - (a) Open upper gate.
  - (b) Close upper gate.
  - (c) Open upper paddle.
  - (d) Close upper paddle.
  - (e) Open lower gate.
  - (f) Close lower gate.
  - (g) Open lower paddle.
  - (h) Close lower paddle.
- Show only feasible transitions.

# A Canal Lock

- The state diagram for the canal lock.



# A Canal Lock

- Describe how to move a boat downstream through the locks where the initial conditions are
  - All gates and paddles are closed.
  - The water level is low.and the final conditions are the same.

# A Canal Lock

- Describe how to move a boat upstream through the locks where the initial conditions are
  - All gates and paddles are closed.
  - The water level is high.and the final conditions are the same.

# Outline

1 An Automaton

**2 Definition of a DFA**

3 Examples

4 Assignment

# Definition of a Finite Automaton

## Definition (Deterministic finite automaton)

A **deterministic finite automaton**, written DFA, is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

- $Q$  is a finite set of **states**,
- $\Sigma$  is a finite alphabet,
- $\delta : Q \times \Sigma \rightarrow Q$  is the **transition function**,
- $q_0 \in Q$  is the **start state**, and
- $F \subseteq Q$  is the set of **accept states**.

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## Example (Definition of a DFA)

- Describe the canal locks formally.
  - Let the start and end states be that the gates and paddles are closed and the water is low.

## Definition (Language of a DFA)

The **language** of a DFA  $M$  is the set of all strings that are accepted by that language.

$$L(M) = \{w \in \Sigma^* \mid M \text{ accepts } w\}.$$

## Definition (Regular language)

A language  $L$  is **regular** if there exists a DFA  $M$  such that  $L = L(M)$ .

# Examples

## Example (Examples)

Design DFAs that will recognize the following regular languages over  $\Sigma = \{\mathbf{a}, \mathbf{b}\}$ .

- All strings that start with **a**.
- All strings that end with **a**.
- All strings that contain **aaa**.
- All strings in which each **a** is followed immediately by **b**.
- All strings that contain **aba** or **bab**.
- All strings that contain **aba** and **bab**.

# Examples

## Example (Binary Addition)

- Design a DFA that will recognize mathematically correct binary addition problems.
- For example:

$$\begin{array}{r} 10110 \\ \underline{00100} \\ 11010 \end{array}$$

- The input symbols are triples of binary digits (000, 001, 010, etc.), representing the columns.
- Read the columns from right to left.

# Examples

## Example (Binary Addition)

- Design a DFA that will recognize mathematically correct binary addition problems.
- For example:

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- The input symbols are triples of binary digits (000, 001, 010, etc.), representing the columns.
- Read the columns from right to left.
- Can we also process them from left to right with a DFA?

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# Assignment

## Assignment

- Section 2.1 Exercises 1, 2, 3, 4bc, 7ef, 8a.